

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Assistant Commissioner For Patents, Washington
D.C. 20231 on March 10, 1999.

Name of Registered Representative:

Jonathan E. Refsky, Registration No. 34,415

3/10/99

Date of Signature



RECEIVED

MAR 17 1999

Group 2700

3/23/99

PATENT
Case No. 8406/4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:)
HOWARD B. GARBER)
Serial No.: 08/868,200)
Filed: June 3, 1997)
Title: SYSTEM AND METHOD FOR)
TRADING HAVING A PRINCIPAL)
MARKET MAKER)

Group Art Unit: 2765

Examiner: J. Patel

Assistant Commissioner For Patents
Washington, D.C. 20231

AMENDMENT

Dear Sir:

In response to the Office Action mailed December 23, 1998, Applicant submits the following amendments and remarks, and requests reconsideration of the application.

IN THE CLAIMS:

Please cancel claims 1-13, 24, 25, 33-36, and 49-55, without prejudice.

D